

41 3621
INTCHK C1IN THE UNITED STATES PATENT AND TRADEMARK OFFICEIn re the Patent Application of:
Kevin M. Messina

Serial No.: 10/077,641

Filed: February 15, 2002

Title: AUTHENTICATION SYSTEM
FOR IDENTIFICATION DOCUMENTS

Art Unit : 3621

Examiner: John W. Hayes

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. §1.97-1.99, applicants and their attorneys are citing the following listed documents for the Examiner's attention in connection with the above-identified application. These documents were identified to complete the record and were cited either in (1) connection with prosecution of U.S. Patent No. 6,523,741, which was recently assigned to the assignee of the above-identified application, or (2) by Tricom Card Technologies in the pending patent infringement litigation, *Intelli-Check, Inc. v. Tricom Card Technologies, Inc.*, United States District Court, Eastern District of New York, Civil Action No. 03-3706, involving U.S. Patent No. 6,463,416, that issued from a related patent application to the above-identified application.

U.S. Patents

<u>U.S. Patent No.</u>	<u>Inventors</u>	<u>Issue Date</u>
3,636,318	Lindstrom et al.	January 18, 1972
3,702,392	St. Jean	Nov. 2, 1972
3,988,570	Murphy et al.	Oct. 26, 1976
RE30,579	Goldman et al.	April 14, 1981
4,369,361	Swartz et al.	January 18, 1983
4,506,148	Berthold et al.	Mar. 19, 1985
4,634,846	Harvey et al.	Jan. 6, 1987
4,689,477	Goldman	Aug. 25, 1987
5,012,077	Takano	April 30, 1991
5,016,192	Ishido et al.	May 14, 1991
5,030,117	Delorme	July 9, 1991
5,054,077	Suzuki	Oct. 1, 1991
5,172,785	Takahashi	Dec. 22, 1992

08/06/2004 FNETK11 00000013 10077641

180.00 OP

01 FC:1806

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042376-30969

5,214,699	Monroe	May 25, 1993
5,284,364	Jain	Feb. 8, 1994
5,287,181	Holman	Feb. 15, 1994
5,337,361	Wang et al.	Aug. 9, 1994
5,367,148	Storch et al.	Nov. 22, 1994
5,367,581	Abel	Nov. 22, 1994
5,429,361	Raven et al.	July 4, 1995
5,471,533	Wang et al.	Nov. 28, 1995
5,559,885	Drexler et al.	Sept. 24, 1996
5,594,226	Steger	Jan. 14, 1997
5,635,981	Ribacoff	Jun. 3, 1997
5,642,485	Deaton	Jun. 24, 1997
5,706,507	Schloss	Jan. 6, 1998
5,717,776	Watanabe	Feb. 10, 1998
5,722,526	Sharrard	Mar. 3, 1998
5,754,939	Herz et al.	May 3, 1998
5,781,650	Lobo et al.	July 14, 1998
5,805,849	Jordan et al.	Sept. 8, 1998
5,991,429	Coffin et al.	Nov. 23, 1999
6,119,932	Maloney et al.	Sept. 19, 2000
6,148,091	DiMaria	Nov. 14, 2000
6,169,542	Hooks et al.	Jan. 2, 2001
6,196,460	Shin	Mar. 6, 2001
6,363,387	Ponnekanti et al.	Mar. 26, 2002
6,523,741	DiMaria	Feb. 25, 2003

Foreign Patents

<u>Patent No.</u>	<u>Inventor</u>	<u>Publication Date</u>	<u>Country</u>
0991027	Morrison et al.	April 5, 2000	EP
2332973	DiMaria	July 7, 1999	GB
2359172	DiMaria et al.	Aug. 15, 2001	GB
090245231	Toshio	Sept. 19, 1997	JP
11316818	Hsu et al.	Nov. 16, 1999	JP
3100972	Mitsuo	April 25, 1991	JP
7093648	Hiroyasu	April 7, 1995	JP
63138462	Shojiro	Jun. 10, 1988	JP
64055695	Chiba Takashi	Mar. 2, 1989	JP
9412372	Goldberg	Jun. 9, 1994	WO

Non-Patent Publications

<u>Publisher</u>	<u>Published</u>	<u>Title</u>	<u>Author</u>
Intelli-Check, Inc.	1997	Do You Really Know ... Who Is Old Enough?	
Intelli-Check, Inc.	1998	ID-Check™ Online, http://www.intellicheck.com , excerpts taken from http://intellicheck.com/news.htm and http://intellicheck.com/page14.htm .	

Other References

1. Declaration of Russ Embry in Support of the Information Disclosure Statement Containing Deposit Materials For Copyright Registration No. TX-024-479, dated September 7, 2001.
2. Declaration of Russ Embry in Support of the Information Disclosure Statement Containing Deposit Materials For Copyright Registration No. TX-024-478, dated September 7, 2001.
3. Complaint filed in *Intelli-Check, Inc. v. Tricom Card Technologies, Inc.*.
4. Defendant Tricom Card Technologies' Responses and Objections to Plaintiff Intelli-Check's First Set of Interrogatories filed in *Intelli-Check, Inc. v. Tricom Card Technologies, Inc.*.
5. Defendant Tricom Card Technologies' Supplemental Responses and Objections to Plaintiff Intelli-Check's First Set of Interrogatories filed in *Intelli-Check, Inc. v. Tricom Card Technologies, Inc.*.

Copies of the aforementioned foreign patent documents and non-patent references are submitted herewith along with a completed form PTO-1449. This Information Disclosure Statement is being submitted pursuant to 37 C.F.R. § 1.97-§ 1.99 with the fee set forth in 37 C.F.R. § 1.17(p) enclosed herewith. If any extra fees are due in connection with the submittal of this paper, the Examiner is hereby authorized to charge **Deposit Account No. 03-3839**.

Applicant notes that copies of the following three documents cited in the above cited Defendant Tricom Card Technologies' Supplemental Responses and Objections to Plaintiff Intelli-Check's First Set of Interrogatories have not been provided in this Information Disclosure Statement because copies were not provided to the Applicant by Tricom Card Technologies, Inc. or were not readily available to the Applicant. Applicant is endeavoring to obtain copies from Tricom Card Technologies, Inc. and once obtained will forward copies to the Examiner in due course.

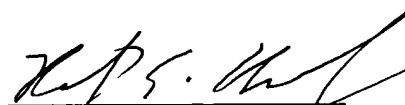
1. Cadent Technology brochure of Datalyst Data Communication Analyzer, 1990.
2. "Recommendation For Use of Magnetic Stripe on Driver's License and Identification Cards," AAMVA, September 9, 1992
3. Japanese Patent Publication No. 3014973.

Correspondence

Please address all correspondence to Intellectual Property Docket Administrator, Gibbons, Del Deo, Dolan, Griffinger & Vecchione, One Riverfront Plaza, Newark, NJ 07102-5496. Telephone calls should be made to Robert E. Rudnick at (973) 596-4727 and fax communications should be sent directly to him at (973) 639-8318.

Respectfully submitted,

Date 8/2/04



Robert E. Rudnick, Esq.
Reg. No. 36,260
Attorney for Applicants

**Gibbons, Del Deo, Dolan, Griffinger
& Vecchione
One Riverfront Plaza
Newark, New Jersey 07102-5496**

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 3

Complete if Known

Application Number	10/077,641
Filing Date	2/15/2002
First Named Inventor	Kevin M. Messina
Group Art Unit	3621
Examiner Name	John W. Hayes
Attorney Docket Number	INTCHK C1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		3,636,318		Lindstrom et al.	1/18/1972	
		3,702,392		St. Jean	11/2/1972	
		3,988,570		Murphy et al.	10/26/1976	
		RE30,579		Goldman et al.	4/14/1981	
		4,369,361		Swartz et al.	1/18/1983	
		4,506,148		Berthold et al.	3/19/1985	
		4,634,846		Harvey et al.	1/6/1987	
		4,689,477		Goldman	8/25/1987	
		5,012,077		Takano	4/30/1991	
		5,016,192		Ishido et al.	5/14/1991	
		5,030,117		Delorme	7/9/1991	
		5,054,077		Suzuki	10/1/1991	
		5,172,785		Takahashi	12/22/1992	
		5,214,699		Monroe	5/25/1993	
		5,284,364		Jain	2/8/1994	
		5,287,181		Holman	2/15/1994	
		5,337,361		Wang et al.	8/9/1994	
		5,367,148		Storch et al.	11/22/1994	
		5,367,581		Abel	11/22/1994	
		5,429,361		Raven et al.	7/4/1995	

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FOREIGN PATENT DOCUMENTS

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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
		EP	0991027		Morrison et al.	4/5/2000		
		GB	2332973		DiMaria	7/7/1999		
		GB	2359172		DiMaria et al.	8/15/2001		
		JP	090245231		Toshio	9/19/1997		
		JP	11316818		Hsu et al.	11/16/1999		
		JP	3100972		Mitsuo	4/25/1991		
		JP	7093648		Hirovasu	4/7/1995		
		JP	63138462		Shojiro	6/10/1988		
		JP	64055695		Chiba Takashi	3/2/1989		
		WO	9412372		Goldberg	6/9/1994		

Examiner
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	10/077,641
		Filing Date	2/15/2002
		First Named Inventor	Kevin M. Messina
		Group Art Unit	3621
		Examiner Name	John W. Hayes
Sheet 2	of 3	Attorney Docket Number	INTCHK C1

U.S. PATENT DOCUMENTS						
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		5,471,533		Wang et al.	11/28/1995	
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		5,594,226		Steger	1/14/1997	
		5,635,981		Ribacoff	6/3/1997	
		5,642,485		Deaton	6/24/1997	
		5,706,507		Schloss	1/6/1998	
		5,717,776		Watanabe	2/10/1998	
		5,722,526		Sharrard	3/3/1998	
		5,754,939		Herz et al.	5/3/1998	
		5,781,650		Lobo et al.	7/14/1998	
		5,805,849		Jordan et al.	9/8/1998	
		5,991,429		Coffin et al.	11/23/1999	
		6,119,932		Maloney et al.	9/19/2000	
		6,148,091		DiMaria	11/14/2000	
		6,169,542		Hooks et al.	1/2/2001	
		6,196,460		Shin	3/6/2001	
		6,363,387		Ponnekanti et al.	3/26/2002	
		6,523,741		DiMaria	2/25/2003	

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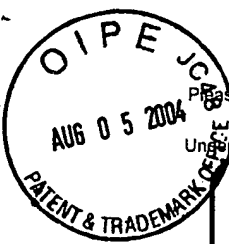
FOREIGN PATENT DOCUMENTS							
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		Office ³	Number ⁴	Kind Code ⁵ (if known)			

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	2/15/2002
		First Named Inventor	Kevin M. Messina
		Group Art Unit	3621
		Examiner Name	John W. Hayes
		Attorney Docket Number	INTCHK C1
Sheet	3	of	3

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	/	1. Intelli-Check, Inc., "Do You Really Know ... Who Is Old Enough?" 1997.. (2 Pages)	
	/	2. Intelli-Check, Inc., ID-Check™ Online, http://www.intellicheck.com , excerpts taken from	
	/	http://intellicheck.com/news.htm and http://intellicheck.com/page14.htm . (6 Pages)	
		3. Declaration of Russ Embry in Support of the Information Disclosure Statement Containing Deposit	
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		6. Defendant Tricom Card Technologies' Responses and Objections to Plaintiff	6. I
		Intelli-Check's First Set of Interrogatories filed in Intelli-Check, Inc. v. Tricom Card Technologies, Inc..	
		7. Defendant Tricom Card Technologies' Supplemental Responses and Objections to Plaintiff Intelli-Check's First Set of Interrogatories filed in Intelli-Check, Inc. v. Tricom Card Technologies, Inc..	

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¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/077,641
Filing Date	February 15, 2002
First Named Inventor	Kevin M. Messina
Art Unit	3621
Examiner Name	John W. Hayes
Attorney Docket Number	INTCHK C1

Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
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Firm or Individual name	Robert E. Rudnick, Reg. No. 36,260 Gibbons, Del Deo, Dolan, Griffinger & Vecchione
Signature	<i>Robert E. Rudnick</i>
Date	8/2/04

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Typed or printed name	Xiangjing Zhan
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Xiangjing Zhan

Date

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